Case No. 9623/378

DECLARATION FOR PATENT APPLICATION

As a below named inventor I hereby declare that:

SEP 0 3 2002

Citizenship

Post Office Address

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled RECOMMENDING SEARCH TERMS USING COLLABORATIVE FILTERING AND WEB SPIDERING, the specification of which: П is attached hereto. X was filed on December 11, 2001 as Application Serial No. 10/020,712. and was amended on _____ (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a). I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed: Prior Foreign Application(s) Priority Claimed (Country) (Day/Month/Year Filed) (Number) Yes No I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below: (Filing Date) (Application Serial No.) I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application: July 24, 2001 Pending 09/911,674 (Filing Date) (Status-patented, pending, abandoned) (Application Serial No.) 09/322,677 May 28, 1999 Patented (Application Serial No.) (Filing Date) (Status-patented, pending, abandoned) I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Inventor's Signature Mark Paine Full name of sole or first inventor 552 Page St., San Francisco, CA 94117 Residence USA

552 Page St., San Francisco, CA 94117

Inventor's Signature		Date:		
Full name of second joint inventor	Winton Davies			
Residence	790 Church Street, # 302, San Francisco, CA 94114			
Citizenship	Great Britain			
Post Office Address	790 Church Street, # 302, San Francisco, CA 94114			
Inventor's Signature		Date: _		
Full name of third joint inventor	Donald F. Geddis			
Residence	80 Southdown Court, Hillsborough, CA 94010			
Citizenship	United States			
Post Office Address	80 Southdown Court, Hillsborough, CA 94010			
•				
		_		
Inventor's Signature		Date: _		_
Full name of fourth joint inventor	Jon Dukes-Schlossberg			_
Residence	748 La Para Ave., Palo Alto, CA 94306			
Citizenship	United States			
Post Office Address	748 La Para Ave., Palo Alto, CA 94306			
Land to Cinnerson		Data	8 /0/22	
Inventor's Signature	warm for	Date:	0/7/02	_
Full name of fifth joint inventor	Darren J. Davis			_
Residence	1571 Sekio Street, Rowland Heights, CA 91748			
Citizenship	United States			_
Post Office Address	1571 Sekio Street Rowland Heights CA 91748			

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

Case No. 9623/378

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

and was amended on ____ (if applicable).

 \Box

My residence, post office address and citizenship are as stated below next to my name.	
--	--

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled RECOMMENDING SEARCH TERMS USING COLLABORATIVE FILTERING AND WEB SPIDERING, the specification of which:

is attached hereto.

was filed on December 11, 2001 as Application Serial No. 10/020.712.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

· I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a).

I.hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign Applicatio	<u>n(s)</u>		Priority Clai	<u>imed</u>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
I hereby claim the benef	it under 35 U.S.C. § 119(e)	of any United States provisional application(s) listed	below:	~
(Application Se	rial No.)	(Filing Date)		
* 1 1 1 1 1 1 1	54 4 25 H C C S 120	of any United States application(s) or \$ 265(a) of	ony DCT Inte	rnatio

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

09/911,674	July 24, 2001	Pending
(Application Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)
09/322,677	May 28, 1999	Patented
(Application Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature	Date:	
Full name of sole or first inventor	Mark Paine	
Residence	552 Page St., San Francisco, CA 94117	
Citizenship	USA	
Post Office Address	552 Page St., San Francisco, CA 94117	

Inventor's Signature	(U) DOUS	
Full name of second joint inventor	Winton Davies	
Residence	790 Church Street, # 302, San Francisco, CA 94114	
Citizenship	Great Britain	
Post Office Address	790 Church Street, # 302, San Francisco, CA 94114	
Inventor's Signature Full name of third joint inventor Residence Citizenship Post Office Address	Donald F. Geddis 80 Southdown Court, Hillsborough, CA 94010 United States 80 Southdown Court, Hillsborough, CA 94010	Date: 8-9-2062
Inventor's Signature Full name of fourth joint inventor Residence Citizenship Post Office Address	Jon Dukes-Schlossberg 748 La Para Ave. Palo Alto, CA 94306 United States 748 La Para Ave., Palo Alto, CA 94306	Date: 8/5/2002
Inventor's Signature Full name of fifth joint inventor Residence Citizenship Post Office Address	Darren J. Davis 1571 Sekio Street, Rowland Heights, CA 91748 United States 1571 Sekio Street, Rowland Heights, CA 91748	Date:
1 OUT OTHER PRODUCTION	15.1. 55.1.6 511 517 16	

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200 Inventor(s): Mark Paine, Winton Davies, Donald Geddis, Jon Dukes-Schlossberg and Darren J. Davis

RECOMMENDING SEARCH TERMS USING COLLABORATIVE FILTERING AND WEB SPIDERING Title:

POWER OF ATTORNEY

	is attached here		
	was filed on De	ember 11, 2001 as application Serial No. <u>10/020,712</u>	
		all previously granted powers of attorney in the above-identified patent application and appoint the osecute said patent application and to transact all business in the Patent and Trademark Office	
		James P. Naughton - 30,665 John G. Rauch - 37,218 Vincent J. Gnoffo, Reg. No. 44,714	
	Please	ddress all correspondence and telephone calls to John G. Rauch in care of:	
•		Brinks Hofer Gilson & Lione P.O. Box 10395 Chicago, IL 60610 (312)321-4200	
without	n and John G. I direct communic	hereby authorizes the U.S. attorneys named herein to accept and follow instructions from <u>James P. auch</u> as to any action to be taken in the Patent and Trademark Office regarding this application ation between the U.S. attorney and the undersigned. In the event of a change in the persons from taken, the U.S. attorneys named herein will be so notified by the undersigned.	
patent ap		es, Inc., a <u>Delaware corporation</u> , certifies that it is the assignee of the entire right, title and interest in the ed above by virtue of either:	
\boxtimes	An assignment from the inventor(s) of the patent application identified above, a copy of which is attached hereto. OR		
□		rom the inventor(s) of the patent application identified above. The assignment was recorded in the Patent Office at Reel, frame	
	A chain of title	rom the inventor(s), of the patent application identified above, to the current assignee as shown below:	
	• 1.	From To: The document was recorded in the Patent and Trademark Office at Reel, frame, or a copy thereof is attached.	
	2.	From To: The document was recorded in the Patent and Trademark Office at Reel, frame, or a copy thereof is attached.	
		Additional documents in the chain of title are listed on a supplemental sheet.	
above ar		has reviewed the assignment or all the documents in the chain of title of the patent application identified indersigned's knowledge and belief, title is in the assignee identified above.	
	The undersigned	(whose title is supplied below) is empowered to act on behalf of the assignee.	
like so n	ef are believed to nade, are punish	that all statements made herein of my own knowledge are true, and that all statements made on information be true; and further, that these statements are made with the knowledge that willful false statements, and the ble by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such ay joopardize the validity of the application or any patent issuing thereon.	
Signatur	e:/	Date:	
Name: Title:	Joshua Metzg	er, resident, Business Affairs, Overture Services, Inc.	

Title:



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

August 26, 2002

Date of Deposit

John G. Rauch, Reg. No. 37,218

Name of Applicant, Assignee or Registered Representative

Signature

8/26/02

Date of Signature

RECEIVED

SEP 5 2002

OFFICE OF PETITIONS

Our Case No. 9623/378

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Mark Paine et al.

Serial No.: 10/020,712

Filing Date: December 11, 2001

For: RECOMMENDING SEARCH TERMS USING

COLLABORATIVE FILTERING AND WEB

SPIDERING

Examiner: TBA

Group Art Unit No.: 2171

NOTIFICATION OF CHANGE FROM SMALL ENTITY STATUS PURSUANT TO 37 C.F.R. §1.28(c)

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. §1.28(c), please note that applicant is no longer entitled to small entity status for this United States patent application. Please update the file for this case to indicate that small entity status no longer applies to U.S. Serial No.

10/020 712 filed on December 11, 2001.

justment datë: 09/05/2002 ANUNURI 720/2001 SZENDIE1 00000055 10020712 FC:201 -370

-370.00 DP -294.00 DP -396.00 DP 01 FC:101 02 FC:102

740.00 OP 588.00 OP 792.00 OP The captioned application was filed in the United States Patent and Trademark Office on December 11, 2001. Applicant asserted small entity status by paying a filing fee for small entity in the amount of \$370 for the filing fee, a \$396 surcharge for forty-four extra claims and a \$294.00 surcharge for seven independent claims for a total of \$1,060.00. The assertion of small entity status and the payment of fees as a small entity was made in good faith.

It has now been discovered that applicant no longer qualifies for such status.

Applicant hereby encloses a check in the amount of \$1,060.00 as additional payment of the application fees for a Large Entity.

Accordingly, it is respectfully requested that the error in payment of the application fees as a small entity be excused and the enclosed fee be accepted and the applicant's status be changed from small entity to large entity.

This patent application is in the process of being assigned from the inventors to Overture Services, Inc., 74 N. Pasadena Avenue, Pasadena, California 91103. A copy of the Assignment Recordal Sheet and the Assignment is enclosed.

The deficiency owed is itemized in the following chart:

Type of Fee Erroneously Paid for Small Entity	37 CFR 1.16(a)	Small Entity Fee Actually Paid and Date of Payment	Deficiency Owed Amount	Total Large Entity Amount Owed
Application Fee	X	\$370.00; 12/11/01	\$370.00	\$740.00
Total Claims 64-20=44	Х	\$396.00; 12/11/01	\$396.00	\$792.00
Independent Claims (7)	Χ	\$294.00; 12/11/01	\$294.00	\$588.00

Applicants attorneys submit that should the enclosed check for \$1,060.00 be insufficient, omitted or should any additional fees be deemed necessary for any reason relating to this deficiency, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant respectfully requests the status for US Serial No. 10/020,712 be changed from small entity to large entity and the enclosed deficiency fee be accepted.

Respectfully submitted,

John G. Rauch

Registration No. 37,218

Attorney for Applicant

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 Tel. (312) 321-4200 Fax (312) 321-4299